Reference Number Direction	Data Destination	Type of Data	Delivery Methods	Sensitive Data Risk L	evel Location	Bulk Data	Location Type	Security of transfer	Purpose	Legal gateway	Justification Article 6	Justification Article 9	Contract in place?	Sharing agreement		of data at rest	rest	Is the file/location of data audited?	n RISK MITTIGATION	RISK LEVEL AFTER MITIGATION
68 Outbound	Patient/ Carer	Recalls Reminders	Web platforms	No High	Within UK	Yes	External		Appointment information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	HIGH	HIGH		USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
69 Outbound 70 Outbound	Patient telephone Patient telephone	Patient appointment Reminders Patient Event Information	Web platforms Web platforms	No High	Within UK Within UK	Yes	External External		Appointment information Event Information		Special Category Consent	(h) Preventative or occupational medicine, health or social care, working capacity (i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes Yes	EMIS iPlato	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	
71 Outbound	Internet sites	Patient Event Information Patient information recording	Web platforms  Web platforms	No High	Within UK Within UK	Yes No	External		Patient feedback		Consent	Public interest for public health i.e. ensuring high quality health care     Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
72 Outbound	CCICP	Community 6 week check results	Post (internal/ external)	Yes LOW	Within UK	No.	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked drawer	HIGH	HIGH	YES		
73 Outbound	CCICP	Child Health Immunisation Lists	External post/ serure email	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked drawer	HIGH	HIGH	YES		
74 Outbound	Secondary Care	Pathology Links / Diagnostic results	Clinical System	Yes High	Within UK	Yes	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
75 Outbound	PCSE	Registration Links	Clinical System	Yes LOW	Within UK	No	External	Yes	Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	HIGH	HIGH	YES		
76 Outbound	Open Exeter	Prior Notification	Clinical System	Yes High	Within UK	Yes	External	Yes	Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Open Exeter	HIGH	HIGH	No	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
77 Outbound	Open Exeter	Imms and Vaccs list	Clinical System	Yes High	Within UK	Yes	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Open Exeter	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
78 Outbound	All Pharmacies	Prescription - FP10	Personal/Hand Collection or Delivery	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
79 Outbound	All Pharmacies	Prescription - FP10	Clinical System	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
80 Outbound	Patient/ Carer Patient/ Carer	Prescription - FP10 Prescription - FP10	Courier (City Sprint) Clinical System	Yes LOW Yes LOW	Within UK Within UK	No No	External		Patient information Patient information		Special Category Special Category	<ul> <li>(h) Preventative or occupational medicine, health or social care, working capacity</li> <li>(h) Preventative or occupational medicine, health or social care, working capacity</li> </ul>	Yes	Yes	Locked cabinet Locked cabinet	HIGH	HIGH	YES YES		
81 Outbound 82 Outbound	Patient/ Carer Patient/ Carer	Patient Subject Access Report	Fax/ post/ email / hand	Yes LOW	Within UK	No No	External		Patient information		Special Category  Special Category	(h) Preventative or occupational medicine, health or social care, working capacity  (h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
83 Outhound	Patient/ Carer	Practice response	Fax/ post/ email / hand	Yes HIGH	Within UK	No.	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity  (h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yer	Locked cabinet	HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
84 Outbound	Patient/ Carer	Sicknote	Personal/Hand Collection or Delivery	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	NEMOVE FAX OF HOW	LOW
85 Outbound	Any Solicitors firm	Solicitors Report	External post /Secure email/ iGPR	Yes LOW	Within UK	No	External	Yes	Patient information		Consent	(a) Explicit Consent	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
86 Outbound	DVLA	DVLA Report	Post (internal/ external)	Yes LOW	Within UK	No	External	Yes	Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
87 Outbound	DWP	DWP Report	Post (internal/ external)	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
88 Outbound	Any insurance co.	Insurance Company Report	Post / Secure email/ Clinical system	Yes LOW	Within UK	No	External		Patient information		Consent	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
89 Outbound	Any Council	Fostering Report	Post (internal/ external)	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
90 Outbound 91 Outbound	CGL (Pathways)	Drug and Alcohol Team Letter	Post / Secure email/ Clinical system	Yes LOW	Within UK Within UK	No No	External		Patient information Patient information		Special Category Consent	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
91 Outbound 92 Outbound	Companies servers	Primary Care Information Telephone recording	Clinical System	Yes LOW Yes High	Within UK Within UK	No Yes	External		Patient information Patient information		Consent	(a) Explicit Consent (a) Explicit Consent	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	1000
92 Outbound 93 Outbound	Vale Royal CCG	Recordings of SFA and incidents	Datix	Yes High	Within UK Within UK	Yes	External		Patient information		Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	
94 Outbound	Anywhere	Undelivered mail letters	Post (internal/ external)	Yes LOW	Within UK	No.	External		Patient information		Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	USE ONLY CLINICAL STSTEM/SECORE PORTAL AS ALTERNATIVE	LOW
95 Outbound	PCSE	FP69 notifications vias links	Clinical System	Yes LOW	Within UK	No	External	Yes	Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS Server	HIGH	HIGH	YES		
96 Outbound	Anywhere	Third party requests	Fax/ post/ email / hand / verbal	Yes HIGH	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW
97 Outbound	PCSE	Incorrectly filed letters	NHS.net/Secure email	Yes LOW	Within UK	No	External	Yes	Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS Server	HIGH	HIGH	YES		
98 Outbound	HMRC	Notification of tax code change Link to website	NHS.net/Secure email	Yes LOW	Within UK	No	External		Staff information		Legal Obligation	(b) Employment or social security reason	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
99 Outbound	Leighton Hospital	Patient Samples (forms and containers)	Courier (City Sprint)	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Fridge in locked room	HIGH	HIGH	YES		
100 Outbound	Patient/ Carer	Recall Letters	External post	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS Server	HIGH	HIGH	YES		#N/A
101 Outbound	Patient information CCICP	information reminders	Web platforms	No High	Within UK Within UK	Yes	External		Practice information Patient Information		Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	iPlato FMIS Server	HIGH	HIGH	YES YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
102 Outbound 103 Outbound	OOH, NHS 111, Care Homes	Diabetic Screening Information DNARCPR forms	External post/ secure email Post / Secure email/ Clinical system	Yes LOW Yes LOW	Within UK	No No	External		Patient information Patient information		Special Category Special Category	(h) Preventative or occupational medicine, health or social care, working capacity     (h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet / EMIS	HIGH	HIGH	YES		
104 Outbound	Prescription Services	PPA report with prescription evidence	Web platforms	Yes LOW	Within UK Within UK	No No	External	Yes	Patient information		Special Category  Special Category	(h) Preventative or occupational medicine, health or social care, working capacity  (h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet / EMIS	HIGH	HIGH	YES		
105 Outbound	NHSE	K041b Complaints data	Web platforms	Yes LOW	Within UK	No	External	Yes	Practice Information		Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
106 Outbound	Anywhere	Patient letters	External post	Yes LOW	Within UK	No	External	Yes	Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
107 Outbound	Secondary Care	Hospital Correspondance Letter	NHS.net email / Internal post	Yes LOW	Within UK	No	External		Patient Information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Docman	HIGH	HIGH	YES		
108 Outbound	Council	Safeguarding Report	NHS.net/Secure email	Yes LOW	Within UK	No	External		Patient information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Secure server	HIGH	HIGH	YES		
109 Outbound	Secondary Care	Hospital Correspondance Letter	NHS.net email / Internal post	Yes LOW	Within UK	No	External		Patient Information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Docman Server	HIGH	HIGH	YES		
110 Outbound	PCSE	Lloyd George file	Courier (City Sprint)	Yes LOW	Within UK	No	External		Patient Medical Record		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked room & locked cabine		HIGH	YES		
111 Outbound 112 Outbound	Other GP Practices	GP2 GP GMS 3 - temp resident form	Clinical System Web platforms	Yes LOW	Within UK Within UK	No No	External		Patient Medical Record Patient Medical Record		Special Category Special Category	(h) Preventative or occupational medicine, health or social care, working capacity     (h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS Server Locked cabinet	HIGH	HIGH	YES		
112 Outbound 113 Outbound	Hospitals / clinical services	GMS 3 - temp resident form Triaging referral	NHS Mail / Web Portal	Yes LOW	Within UK	No No	External		Patient Medical Record  Patient referral information		Special Category  Special Category	(h) Preventative or occupational medicine, health or social care, working capacity  (h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lexacom Server	HIGH	HIGH	YES		
114 Outbound	Hospitals / clinical services	cancelling referral	NHS Mail / Web Portal	Yes LOW	Within UK	No	External		Patient referral information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lexacom Server	HIGH	HIGH	YES		
115 Outbound	Medefer	advice and guadance referral	NHS Mail / Web Portal	Yes LOW	Within UK	No	External		Patient referral information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS Server	HIGH	HIGH	YES		
116 Outbound	IAPT	Psychological Services	NHS.net/Secure email	Yes LOW	Within UK	No	External	Yes	Patient referral information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	HIGH	HIGH	YES		
117 Outbound	Private hospitals	Private Referral letters	Fax / Post / Secure Mail	Yes HIGH	Within UK	No	External		Patient Referral information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lexacom	HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
118 Outbound	Choose & Book	Referral forms/ letter - Choose & Book	NHS Mail / Web Portal	Yes LOW	Within UK	No	External		Patient Referral information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lexacom	HIGH	HIGH	YES		
119 Outbound	CCICP	Referral forms / letter - Community	NHS Mail / Web Portal NHS Mail / Web Portal	Yes LOW	Within UK	No	External		Patient Referral information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lexacom	HIGH	HIGH	YES YES		
120 Outbound	Choose & Book Patient/ Carer	Referral form/ letter - 2WW		Yes LOW Yes HIGH	Within UK Within UK	No No	External		Patient Referral information Patient/ Practice information		Special Category Special Category	(h) Preventative or occupational medicine, health or social care, working capacity  (i) Public interest for public health i.e. ensuring high quality health care.	Yes	Yes	EMIS / Lexacom Locked cabinet	HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW
121 Outbound 122 Outbound	Patient/ Carer Patient/ Carer	Practice complaint response Practice response to patient feedback	Fax/post/email/hand/verbal/web platform Fax/E-mail/Web platform/Hand	Yes HIGH	Within UK Within UK	No No	External		Patient/ Practice information  Patient/ Practice information		Special Category  Special Category	(i) Public interest for public health i.e. ensuring high quality health care (ii) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW
123 Outbound	NHSE	Enhance Service Data Collection	Web platforms	No LOW	Within UK	No	External	Yes	Practice audit information		Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	EMIS/CQRS	HIGH	HIGH	YES	DE TION / JONES / OKTIMAS DELIVERI/POSI	
124 Outbound	Various	Payment details - cheques/ BACs details	Fax/ post/ email / hand / verbal	Yes HIGH	Within UK	No	External		Practice finance information		Contract	(i) Public interest for public health i.e. ensuring high quality health care	Yes	No	Secure server	HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW
125 Outbound	Practice Computer	Practice Accounts	Fax/ post/ email / hand / verbal	Yes HIGH	Within UK	No	External		Practice finance information		Contract	(i) Public interest for public health i.e. ensuring high quality health care	Yes	No	Secure server	HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW
126 Outbound	DBS suppliers	DBS	Web platforms	Yes LOW	WILLIAM OK	No	External		Staff information		Legal Obligation	(b) Employment or social security reason	Yes	No	Locked cabinet	HIGH	HIGH	YES		
127 Outbound	Staff referrees	Reference Requests	Fax/ post/ email / hand	Yes HIGH	Within UK	No	External		Staff information		Legal Obligation	(b) Employment or social security reason	Yes	No	Locked cabinet	HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW
128 Outbound 129 Outbound	Council HMRC	Attachment of Earning Requests RTI Submissions	Fax/ post/ email / hand / verbal Web platforms	Yes HIGH Yes LOW	Within UK Within UK	No No	External External		Staff information Staff information		Legal Obligation Legal Obligation	(b) Employment or social security reason (b) Employment or social security reason	Yes	No	Locked cabinet Secure server	HIGH	HIGH	YES YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW
129 Outbound 130 Outbound	HMRC NHSF	RTI Submissions Workforce Census user	Web platforms Web platforms	Yes LOW Yes LOW	Within UK Within UK	No No	External External		Staff information Staff information		Legal Obligation Contract	(b) Employment or social security reason     (i) Public interest for public health i.e. ensuring high quality health care	Yes	No No	Secure server Secure server	HIGH	HIGH	YES		
130 Outbound	Nurse Forum/Nurse Training	Information regarding staff	Web platforms NHS net/Secure email	Yes LOW	Within UK Within UK	No No	External		Staff information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Secure server	HIGH	HIGH	YES		
133 Inbound	ECG Cloud	ECG Results and comments	NHS Mail / Web Portal	Yes LOW	Within UK	No	External		Patient Information		Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Secure server	HIGH	HIGH	YES		
135 Inbound	Peninsula (HR)	HR Information and advice	NHS Mail / Web Portal	Yes LOW	Within UK	No	External		Staff information		Legal Obligation	(b) Employment or social security reason	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
136 Inbound	MPS (Indemnity)	Advice and guidance	NHS.net/Secure email	Yes LOW	Within UK	No	External	Yes	Staff & Patient Information		Legal Obligation	(b) Employment or social security reason	Yes	Yes	Locked cabinet	HIGH	HIGH	YES		
138 Inbound	E-Ifh (Leaning portal)	Online learning	Web platforms	Yes LOW	Within UK	No	External		Staff information		Protect Vital Interest	(b) Employment or social security reason	Yes	Yes	Secure server	HIGH	HIGH	YES		
139 Inbound	St Helens & Knowsley IG	Information Governance Information	NHS.net/Secure email	No LOW	Within UK	No	External		Practice Information		Legal Obligation	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Secure server	HIGH	HIGH	YES		
141 Inbound	Synexus	Research data	Fax / Post / Secure Mail	No HIGH	Within UK	No	External	Yes	Patient Information		Legitimate Interests	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet	HIGH	HIGH	YES	REMOVE FAX OPTION	LOW