

Reference Number	Direction	Data Destination	Type of Data	Delivery Methods	Sensitive Data	Risk Level	Location	Bulk Data	Location Type	Security of transfer	Purpose	Legal gateway	Justification Article 6	Justification Article 9	Contract in place?	Sharing agreement?	Where is data held at rest (Practice)	Where is data held at rest (3rd party - Outbound only)	Security of data at rest (Practice)	Security of data at rest (3rd party)	Is the info/ location of data audited?	RISK MITIGATION	RISK LEVEL AFTER MITIGATION
66	Outbound	Patient/ Care	Recalls Reminders	Web platforms	No	High	Within UK	Yes	External	No	Appointment information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
69	Outbound	Patient telephone	Patient appointment Reminders	Web platforms	No	High	Within UK	Yes	External	No	Appointment information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
70	Outbound	Patient telephone	Patient Event Information	Web platforms	No	High	Within UK	Yes	External	No	Event Information	Consent	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	PIfAO		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
71	Outbound	Internet sites	Patient information recording	Web platforms	No	Low	Within UK	No	External	No	Patient feedback	Consent	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
72	Outbound	CCCP	Community 6 week check results	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked drawer		HIGH	HIGH	YES		LOW	
73	Outbound	CCCP	Child Health Immunisation Lists	External post/ secure email	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked drawer		HIGH	HIGH	YES		LOW	
74	Outbound	Secondary Care	Pathology Links / Diagnostic results	Clinical System	Yes	High	Within UK	Yes	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
75	Outbound	PCSA	Registration Links	Clinical System	Yes	High	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
76	Outbound	Open Exeter	Prior Notification	Clinical System	Yes	High	Within UK	Yes	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Open Exeter		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
77	Outbound	Open Exeter	Imms and Vaccs list	Clinical System	Yes	High	Within UK	Yes	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Open Exeter		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
78	Outbound	All Pharmacies	Prescription - FP10	Personal/Hand Collection or Delivery	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
79	Outbound	All Pharmacies	Prior Notification	Clinical System	Yes	High	Within UK	Yes	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
80	Outbound	Patient/ Care	Prescription - FP10	Courier (City Sprint)	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
81	Outbound	Patient/ Care	Prescription - FP10	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
82	Outbound	Patient/ Care	Patient Subject Access Report	Fax/post/ email / hand	Yes	High	Within UK	No	External	Yes	Patient information	Special Category	(a) Explicit Consent	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW	
83	Outbound	Patient/ Care	Practice response	Fax/post/ email / hand	Yes	High	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW	
84	Outbound	Patient/ Care	Sicknote	Personal/Hand Collection or Delivery	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
85	Outbound	Any Solicitors firm	Solicitors Report	External post/ Secure email/ iGPR	Yes	Low	Within UK	No	External	Yes	Patient information	Consent	(a) Explicit Consent	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
86	Outbound	DVLA	DVA Report	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
87	Outbound	DWP	DWP Report	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
88	Outbound	Any insurance co.	Insurance Company RWP	Post / Secure email/ Clinical system	Yes	Low	Within UK	No	External	Yes	Patient information	Consent	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
89	Outbound	Any Council	Fostering Report	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
90	Outbound	CG (Pathways)	Drug and Alcohol Team Letter	Post / Secure email/ Clinical system	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
91	Outbound	MCSU	Primary Care Information	Clinical System	Yes	High	Within UK	No	External	Yes	Patient information	Consent	(a) Explicit Consent	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
92	Outbound	Companies servers	Telephone recording		Yes	High	Within UK	Yes	External	Yes	Patient information	Consent	(a) Explicit Consent	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
93	Outbound	Val Royal CCG	Recordings of SEA and incidents	Datix	Yes	High	Within UK	Yes	External	Yes	Patient information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
94	Outbound	Anywhere	Undelivered mail letters	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
95	Outbound	PCSA	PIIP notifications via links	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES		LOW	
96	Outbound	Anywhere	Third party requests	Fax/post/ email / hand / verbal	Yes	High	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW	
97	Outbound	PCSA	Incorrectly filed letters	NHS.net/Secure email	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES		LOW	
98	Outbound	HMCC	Notification of tax code change Link to website	NHS.net/Secure email	Yes	Low	Within UK	No	External	Yes	Staff information	Legal Obligation	(d) Employment or social security reason	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
99	Outbound	Leighton Hospital	Patient Samples (forms and containers)	Courier (City Sprint)	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Fridge in locked room		HIGH	HIGH	YES		LOW	
100	Outbound	Patient/ Care	Recall Letters	External post	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
101	Outbound	Patient information	Patient information reminders	Web platforms	No	High	Within UK	Yes	External	No	Patient information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	PIfAO		HIGH	HIGH	YES		LOW	
102	Outbound	CCCP	Diabetic Screening Information	External post/ secure email	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW	
103	Outbound	ODM, NHS 111, Care Homes	DNAR/PCR forms	Post / Secure email/ Clinical system	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet / EMIS		HIGH	HIGH	YES		LOW	
104	Outbound	Prescription Services	PIPA report with prescription evidence	Web platforms	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
105	Outbound	NHSI	KD418 Complaints data	Web platforms	Yes	Low	Within UK	No	External	Yes	Practice information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
106	Outbound	Anywhere	Patient letters	External post	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
107	Outbound	Secondary Care	Hospital Correspondence Letter	NHS.net email / Internal post	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Docman		HIGH	HIGH	YES		LOW	
108	Outbound	Council	Safeguarding Report	NHS.net/Secure email	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Secure server		HIGH	HIGH	YES		LOW	
109	Outbound	Secondary Care	Hospital Correspondence Letter	NHS.net email / Internal post	Yes	Low	Within UK	No	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Docman	Server	HIGH	HIGH	YES		LOW	
110	Outbound	PCSA	Lloyd George file	Courier (City Sprint)	Yes	Low	Within UK	No	External	Yes	Patient Medical Record	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked room & locked cabinet		HIGH	HIGH	YES		LOW	
111	Outbound	Other GP Practices	GPX GP	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient Medical Record	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES		LOW	
112	Outbound	PCSA	GMS 2 - temp resident form	Web platforms	Yes	Low	Within UK	No	External	Yes	Patient Medical Record	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		LOW	
113	Outbound	Hospitals / clinical services	Triaging referral	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenaxom	Server	HIGH	HIGH	YES		LOW	
114	Outbound	Hospitals / clinical services	cancelling referral	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenaxom	Server	HIGH	HIGH	YES		LOW	
115	Outbound	Medefer	advice and guidance referral	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES		LOW	
116	Outbound	MPT	Psychological Services	NHS.net/Secure email	Yes	Low	Within UK	No	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES		LOW	
117	Outbound	Private hospitals	Private Referral letters	Fax / Post / Secure Mail	Yes	High	Within UK	No	External	Yes	Patient Referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenaxom		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW	
118	Outbound	Choose & Book	Referral forms / letter - Choose & Book	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient Referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenaxom		HIGH	HIGH	YES		LOW	
119	Outbound	CCCP	Referral forms / letter - Community	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient Referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenaxom		HIGH	HIGH	YES		LOW	
120	Outbound	Choose & Book	Referral forms / letter - 2NW	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient Referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenaxom		HIGH	HIGH	YES		LOW	
121	Outbound	Patient/ Care	Practice complaint response	Fax/post/ email / hand / verbal/ web platform	Yes	High	Within UK	No	External	Yes	Patient/ Practice information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW	
122	Outbound	Patient/ Care	Practice response to patient feedback	Fax / E-mail / Web platforms / Hand	Yes	High	Within UK	No	External	Yes	Patient/ Practice information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW	
123	Outbound	NHSI	Enhance Service Data Collection	Web platforms	No	Low	Within UK	No	External	Yes	Practice audit information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	EMIS/CGIS		HIGH	HIGH	YES		LOW	
124	Outbound	Various	Payment details - cheques/ BACS details	Fax/post/ email / hand / verbal	Yes	High	Within UK	No	External	Yes	Practice finance information	Contract	(i) Public interest for public health i.e. ensuring high quality health care	Yes	No	Secure server		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW	
125	Outbound	Practice Computer	Practice Accounts	Fax/post/ email / hand / verbal	Yes	High	Within UK	No	External	Yes	Practice finance information	Contract	(i) Public interest for public health i.e. ensuring high quality health care	Yes	No	Secure server		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW	
126	Outbound	ONS suppliers	ONS	Web platforms	No	Low	Within UK	No	External	Yes	Staff information	Legal Obligation	(d) Employment or social security reason	Yes	No	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW	
127	Outbound	Staff referees	Reference Requests	Fax/post/ email / hand	Yes	High	Within UK	No	External	Yes	Staff information	Legal Obligation	(d) Employment or social security reason	Yes	No	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW	
128	Outbound	Council	Attachment of Earning Requests	Fax/post/ email / hand / verbal	Yes	High	Within UK	No	External	Yes	Staff information	Legal Obligation	(d) Employment or social security reason	Yes	No	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	LOW	
129	Outbound	HMCC	RTI Submissions	Web platforms	Yes	Low	Within UK	No	External	Yes</													