Reference Number	Direction	Data Source	Type of Data	Delivery Methods	Bulk Data	Sensitive Data	Risk Level	Location	Location Type	Security of transfer	Purpose	Justification Article 6	Justification Article 9	Legal gateway	Contract in place?	Sharing agreement?	Where is data held at rest (Practice)	Where is data held at rest (3rd party) - Outbound only	Security of data at rest (Practice)	Security of data at rest (third party)	Is the file/location of data audited?	RISK MITIGATION	RISK LEVEL AFTER MITIGATION
1	Inbound	Leighton Hospital Urgent Care/111	Out of Hours	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient Consultation	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	EMIS	CLINICAL SYSTEM	HIGH	HIGH	YES		
2	Inbound	Primary Care Access HUB	Extended Hours	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient Consultation	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	EMIS	CLINICAL SYSTEM	HIGH	HIGH	YES		
3	Inbound	NHS 111	NHS 111	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient Consultation	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	EMIS	CLINICAL SYSTEM	HIGH	HIGH	YES		
4	Inbound	Practice computer	Video recordings for trainees	SD card	No	Yes	MEDIUM	Within UK	Internal	No	Patient consultation				Yes	Yes							
5	Inbound	Internet sites	Patient information recording	Web platforms	No	???	LOW	Within UK	External	Yes	Patient feedback				Yes	Yes							
6	Inbound	Patients/ Carers	Friends and Family data	Website/ Hand	No	No	MEDIUM	Within UK	External	Yes	Patient feedback	Special Category	(i) Public interest for public health i.e. ensuring high quality health care		Yes	Yes	Locked cabinet	SERVER	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SEC	LOW
7	Inbound	Social Services	Safeguarding Report Request	NHS.net/Secure email	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	SECURE E-MAIL		HIGH	HIGH	YES		
8	Inbound	Northwich Care Homes (Daneside Court/Sa	Community Prescription requests	Secure E-mail/ Fax	No	Yes	HIGH	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	SECURE E-MAIL / LOCKED CABINET		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
9	Inbound	Child Health (CCICP)	Community 6 week check requests	Secure E-mail/ Fax	No	Yes	HIGH	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	LOCKED DRAWER		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
10	Inbound	Child Health (CCICP)	Child Health Immunisation Lists	NHS.net email / Internal post	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	LOCKED DRAWER		HIGH	HIGH	YES		
11	Inbound	Secondary Care	Pathology Links / Diagnostic results	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	EMIS		HIGH	HIGH	YES		
12	Inbound	Patient/ Carer	Patinet Registration request forms	Fax/ post/ email / hand / verbal	Yes	Yes	High	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	LOCKED CABINET		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
13	Inbound	PCSE	Registration Links	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	EMIS		HIGH	HIGH	YES		
14	Inbound	Open Exeter	Prior Notification	Open Exeter	Yes	Yes	High	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	OPEN EXETER		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SEC	LOW
15	Inbound	Open Exeter	Imms and Vaccs list	Open Exeter	Yes	Yes	High	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	OPEN EXETER		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SEC	LOW
16	Inbound	All Pharmacies	Prescription request	Fax/ post/ email / hand	Yes	Yes	High	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	LOCKED CABINET		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
17	Inbound	Patient/ Carer	Prescription request	Fax/ post/ email / hand	Yes	Yes	High	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
18	Inbound	Patient/ Carer	Patient Subject Access Request	Fax/ post/ email / hand	No	Yes	HIGH	Within UK	External	Yes	Patient information	Consent	(a) Explicit Consent		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
19	Inbound	Patient/ Carer	Patient request	Fax/ post/ email / hand	No	Yes	HIGH	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
20	Inbound	Patient/ Carer	Patient request	Fax/ post/ email / hand / verbal	No	Yes	HIGH	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
21	Inbound	Any Solicitors firm	Solicitors Request Letter	External post /Secure email/ iGPR	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
22	Inbound	DVLA	DVLA Request Letter	External post	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
23	Inbound	DWP	DWP Request Letter	External post	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
24	Inbound	Any insurance co.	Insurance Company Request Letter	External post /Secure email/ iGPR	No	Yes	LOW	Within UK	External	Yes	Patient information	Consent	(a) Explicit Consent		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
25	Inbound	Any Council	Fostering Report Request Letter	External post	No	Yes	LOW	Within UK	External	Yes	Patient information	Consent	(a) Explicit Consent		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
26	Inbound	Healthcare Provider	Drug and Alcohol Team Letter	External post	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
27	Inbound	MLCSU	Primary Care Information	Aristotle software	Yes	Yes	High	Within UK	External	Yes	Patient information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care		Yes	Yes	SECURE SERVER / IT		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SEC	LOW
28	Inbound	Practice computer	Telephone recording	Telephone	Yes	Yes	High	Within UK	External	Yes	Patient information				Yes	Yes						USE ONLY CLINICAL SYSTEM/SEC	LOW
29	Inbound	Vale Royal CCG	Recordings of SEA and incidents	Datix	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care		Yes	Yes	SECURE SERVER / IT		HIGH	HIGH	YES		
30	Inbound	Anywhere	Undelivered mail letters	External Post	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
31	Inbound	PCSE	FP69 notifications vias links	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	SECURE E-MAIL		HIGH	HIGH	YES		
32	Inbound	Anywhere	Third party requests	Fax/ post/ email / hand / verbal	No	Yes	HIGH	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
33	Inbound	NHSE	Suspicious Character Information	NHS.net/Secure email	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(g) Substantial public interest		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
34	Inbound	PCSE	Screening	External post/ secure email	No	Yes	LOW	Within UK	External	Yes	Patient information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked drawer		HIGH	HIGH	YES		
35	Inbound	HMRC	Notification of tax code change Letter	External post	No	Yes	LOW	Within UK	External	Yes	Staff information	Legal Obligation	(b) Employment or social security reason		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
36	Inbound	CCICP	Community Correspondance Letter	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient Information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	EMIS/Docman		HIGH	HIGH	YES		
37	Inbound	CCICP	Community Correspondance Letter	Post (internal/ external)	No	Yes	LOW	Within UK	External	Yes	Patient Information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
38	Inbound	Secondary Care	Hospital Correspondance Letter	Post (internal/ external)	No	Yes	LOW	Within UK	External	Yes	Patient Information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
39	Inbound	Secondary Care	Hospital Correspondance Letter	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient Information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	EMIS/Docman		HIGH	HIGH	YES		
40	Inbound	PCSE	Lloyd George file (no longer produced)	Courier (City Sprint)	No	Yes	LOW	Within UK	External	Yes	Patient Medical Record	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked room/locked cabinet		HIGH	HIGH	YES		
41	Inbound	Other GP Practices	GP2 GP	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient Medical Record	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	EMIS		HIGH	HIGH	YES		
42	Inbound	PCSE	GMS 3 - temp resident form	NHS.net/Secure email	No	Yes	LOW	Within UK	External	Yes	Patient Medical Record	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
43	Inbound	Choose & Book	referral	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Choose&Book/EMIS		HIGH	HIGH	YES		
44	Inbound	E-RS	referral	NHS.net/Secure email	No	Yes	LOW	Within UK	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	NHS Mail		HIGH	HIGH	YES		
45	Inbound	Lexacom	referral	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Lexacom/EMIS		HIGH	HIGH	YES		
47	Inbound	Medefer	advice and guadance referral	Clinical System	No	Yes	LOW	Within UK	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Medefer/EMIS		HIGH	HIGH	YES		
48	Inbound	IAPT	Psychological Services	NHS.net/Secure email	No	Yes	LOW	Within UK	External	Yes	Patient referral information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	e-mail		HIGH	HIGH	YES		
49	Inbound	Patient/ Carer	Patient complaint	Fax/ post/ email / hand / verbal/ web platform	No	Yes	HIGH	Within UK	External	Yes	Patient/ Practice information	Consent	(a) Explicit Consent		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
50	Inbound	Patient/ Carer	Patient feedback on care or service	Fax/ post/ email / hand / verbal	No	Yes	HIGH	Within UK	External	Yes	Patient/ Practice information	Special Category	(i) Public interest for public health i.e. ensuring high quality health care		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
51	Inbound	Practice computer	Practice Accounts	Fax/ post/ email / hand / verbal	No	Yes	HIGH	Within UK	Internal	Yes	Practice finance information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Secure server/locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
52	Inbound	Open Exeter	GP Payments	Open Exeter	No	Yes	LOW	Within UK	External	Yes	Practice Informaion	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	OPEN EXETER		HIGH	HIGH	YES		
53	Inbound	DBS suppliers	DBS	NHS.net/Secure email	No	Yes	LOW	Within UK	External	Yes	Staff information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
54	Inbound	Staff referrees	Reference Requests	Fax/ post/ email / hand	No	Yes	HIGH	Within UK	External	Yes	Staff information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
55	Inbound	Council	Attachment of Earning Requests	External post	No	Yes	LOW	Within UK	External	Yes	Staff information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
56	Inbound	HMRC	Student loan information	External post	No	Yes	LOW	Within UK	External	Yes	Staff information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
57	Inbound	Staff member	Newstarter pack	Fax/ post/ email / hand	No	Yes	HIGH	Within UK	Internal	Yes	Staff information	Special Category	(b) Employment or social security reason		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
58	Inbound	Staff member	Locum pack	Fax/ post/ email / hand	No	Yes	HIGH	Within UK	Internal	Yes	Staff information	Special Category	(b) Employment or social security reason		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
59	Inbound	Staff member	Admin . Clinical Staff files	Fax/ post/ email / hand/ encrypted disc	No	Yes	HIGH	Within UK	Internal	Yes	Staff information	Special Category	(b) Employment or social security reason		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
60	Inbound	Various	Payment details - cheques/ BACs details	Fax/ post/ email / hand	No	Yes	HIGH	Within UK	External	Yes	Third party details	Special Category	(b) Employment or social security reason		Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
61	Inbound	Nurse Forum/Nurse Training	Information regarding staff	NHS.net/Secure email	No	Yes	LOW	Within UK	External	Yes	Staff information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
62	Inbound	ECG Cloud	ECG Results and comments	NHS E-mail / clinical system	No	Yes	LOW	Within UK	External	Yes	Patient Information	Special Category	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	E-mail/EMIS		HIGH	HIGH	YES		
63	Inbound	HR Peninsula	NO LONGER USED																				
64	Inbound	MPS (Indemnity)	Advice and guidance	NHS.net/Secure email	No	Yes	LOW	Within UK	External	Yes	Staff & Patient Information	Legal Obligation	(b) Employment or social security reason		Yes	Yes	Secure server/e-mail		HIGH	HIGH	YES		
65	Inbound	E-lfh (Leaning portal)	Online learning	NHS E-mail / clinical system	No	Yes	LOW	Within UK	External	Yes	Staff information	Protect Vital Interest	(b) Employment or social security reason		Yes	Yes	Server		HIGH	HIGH	YES		
66	Inbound	GP Alliance - Data Protection Officer	Information Governance Information	NHS.net/Secure email	No	No	LOW	Within UK	External	Yes	Practice Information	Legal Obligation	(i) Public interest for public health i.e. ensuring high quality health care		Yes	Yes	e-mail		HIGH	HIGH	YES		
67	Inbound	Synexus	Research data	Fax / Post / Secure Mail	No	No	HIGH	Within UK	External	Yes	Patient Information	Legitimate Interests	(h) Preventative or occupational medicine, health or social care, working capacity		Yes	Yes	locked cabinet/e-mail		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW