

Reference Number	Direction	Data Destination	Type of Data	Delivery Methods	Sensitive Data	Risk Level	Location	Bulk Data	Location Type	Security of transfer	Purpose	Legal gateway	Justification Article 6	Justification Article 9	Contract in place?	Sharing agreement	Where is data held at rest (Practice)	Where is data held in transit (3rd party) - Outbound only	Security of data at rest (Practice)	Security of data in transit (3rd party)	Is the file/application data audited?	RISK MITIGATION	RISK LEVEL AFTER MITIGATION
68	Outbound	Patient/ Carer	Recalls Reminders	Web platforms	No	High	Within UK	Yes	External	No	Appointment information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
69	Outbound	Patient telephone	Patient appointment Reminders	Web platforms	No	High	Within UK	Yes	External	No	Appointment information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
70	Outbound	Patient telephone	Patient Event information	Web platforms	No	High	Within UK	Yes	External	No	Event information		Consent	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	EMIS		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
71	Outbound	Internet sites	Patient information recording	Web platforms	No	Low	Within UK	No	External	No	Patient feedback		Consent	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
72	Outbound	CCIP	Community's week check results	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked drawer		HIGH	HIGH	YES		
73	Outbound	CCIP	Child Health Immunisation Lists	External post/ secure email	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked drawer		HIGH	HIGH	YES		
74	Outbound	Secondary Care	Pathology Links / Diagnostic results	Clinical System	Yes	Low	Within UK	Yes	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
75	Outbound	PCSE	Registration Lists	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES		
76	Outbound	Open Exeter	Prior Notification	Clinical System	Yes	High	Within UK	Yes	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Open Exeter		HIGH	HIGH	NO	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
77	Outbound	Open Exeter	Imms and Vacs list	Clinical System	Yes	Low	Within UK	Yes	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Open Exeter		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
78	Outbound	All Pharmacies	Personal/Hand Collection or Delivery	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
79	Outbound	All Pharmacies	Clinical System	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
80	Outbound	Patient/ Carer	Prescription - PF10	Courier (City Sprint)	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
81	Outbound	Patient/ Carer	Prescription - PF10	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
82	Outbound	Patient/ Carer	Patient Subject Access Report	Fax/ post/ email / hand	Yes	High	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
83	Outbound	Patient/ Carer	Practice response	Fax/ post/ email / hand	Yes	High	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
84	Outbound	Patient/ Carer	Sicknote	Personal/Hand Collection or Delivery	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
85	Outbound	Any Solicitors firm	External post /Secure email/ EGRP	Solicitors Report	Yes	Low	Within UK	No	External	Yes	Patient information		Consent	(a) Explicit Consent	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
86	Outbound	DVA	DVA Report	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
87	Outbound	DWP	DWP Report	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
88	Outbound	Any insurance co.	Insurance Company Report	Post / Secure email/ Clinical system	Yes	Low	Within UK	No	External	Yes	Patient information		Consent	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
89	Outbound	Any Council	Fostering Report	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
90	Outbound	CSL (Pathways)	Drug and Alcohol Team Letter	Post / Secure email/ Clinical system	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
91	Outbound	MICSU	Primary Care Information	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient information		Consent	(a) Explicit Consent	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
92	Outbound	Companies servers	Telephone recording	Telephone recording	Yes	High	Within UK	Yes	External	Yes	Patient information		Consent	(a) Explicit Consent	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
93	Outbound	Cheshire ICB (Was Vale Royal CCS)	Recordings of SAs and accidents	Datix	Yes	High	Within UK	Yes	External	Yes	Patient information		Special Category	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
94	Outbound	Anywhere	Undelivered mail letters	Post (internal/ external)	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
95	Outbound	PCSE	PF10 notifications via links	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	IN/A
96	Outbound	Anywhere	Third party requests	Fax/ post/ email / hand / verbal	Yes	High	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
97	Outbound	PCSE	Incorrectly filed letters	NHS.net/Secure email	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	IN/A
98	Outbound	HMRK	Notification of tax code change link to website	NHS.net/Secure email	Yes	Low	Within UK	No	External	Yes	Staff information		Legal Obligation	(b) Employment or social security reason	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
99	Outbound	Leighton Hospital / Manchester Cytology	Patient Samples (Forms and containers)	Courier (City Sprint)	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Fridge / locked room		HIGH	HIGH	YES		
100	Outbound	Patient/ Carer	Recall Letters	Web platforms	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
101	Outbound	Patient information	Information reminders	Web platforms	No	Low	Within UK	Yes	External	No	Practice information		Special Category	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	EMIS	Server	HIGH	HIGH	YES		
102	Outbound	CCIP	Diabetic Screening Information	External post/ secure email	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES	USE ONLY CLINICAL SYSTEM/SECURE PORTAL AS ALTERNATIVE	LOW
103	Outbound	OOH_NHS 111, Care Homes	DNAR/CR forms	Post / Secure email/ Clinical system	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet / EMIS		HIGH	HIGH	YES		
104	Outbound	Prescription Services	PPA report with prescription evidence	Web platforms	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
105	Outbound	NHSE	KO/IS Complaints data	Web platforms	Yes	Low	Within UK	No	External	Yes	Practice information		Special Category	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
106	Outbound	Anywhere	External post	Patient letters	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
107	Outbound	Secondary Care	Hospital Correspondence Letter	NHS.net email / Internal post	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Doctran		HIGH	HIGH	YES		
108	Outbound	Council	Safeguarding Report	NHS.net/Secure email	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Secure server		HIGH	HIGH	YES		
109	Outbound	Secondary Care	Hospital Correspondence Letter	NHS.net email / Internal post	Yes	Low	Within UK	No	External	Yes	Patient information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Doctran	Server	HIGH	HIGH	YES		
110	Outbound	PCSE	Lloyd George file	Courier (City Sprint)	Yes	Low	Within UK	No	External	Yes	Patient Medical Record		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked room & locked cabinet		HIGH	HIGH	YES		
111	Outbound	Other GP Practices	GPZ GP	Clinical System	Yes	Low	Within UK	No	External	Yes	Patient Medical Record		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES		
112	Outbound	PCSE	GMS 3 - temp resident form	Web platforms	Yes	Low	Within UK	No	External	Yes	Patient Medical Record		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	Locked cabinet		HIGH	HIGH	YES		
113	Outbound	Hospitals / clinical services	Triaging referral	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient referral information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenacom	Server	HIGH	HIGH	YES		
114	Outbound	Hospitals / clinical services	cancelling referral	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient referral information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenacom	Server	HIGH	HIGH	YES		
115	Outbound	Medefor	NO LONGER USED	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient referral information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS	Server	HIGH	HIGH	YES		
116	Outbound	UPT	Psychological Services	NHS.net/Secure email	Yes	Low	Within UK	No	External	Yes	Patient referral information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS		HIGH	HIGH	YES	REMOVE FAX OPTION	LOW
117	Outbound	Private hospitals	Private Referral letters	Fax / Post / Secure Mail	Yes	High	Within UK	No	External	Yes	Patient Referral information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenacom		HIGH	HIGH	YES		
118	Outbound	Chose & Book	Referral forms / letter - Chose & Book	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient Referral information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenacom		HIGH	HIGH	YES		
119	Outbound	CCIP	Referral forms / letter - Community	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient Referral information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenacom		HIGH	HIGH	YES		
120	Outbound	Chose & Book	Referral forms / letter - ZWV	NHS Mail / Web Portal	Yes	Low	Within UK	No	External	Yes	Patient Referral information		Special Category	(N) Preventative or occupational medicine, health or social care, working capacity	Yes	Yes	EMIS / Lenacom		HIGH	HIGH	YES		
121	Outbound	Patient/ Carer	Practice complaint response	Fax/ post/ email / hand / verbal/ web platform	Yes	High	Within UK	No	External	Yes	Patient/ Practice information		Special Category	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	IN/A
122	Outbound	Patient/ Carer	Practice response to patient feedback	Fax / E-mail / Web platform / Hand	Yes	Low	Within UK	No	External	Yes	Patient/ Practice information		Special Category	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	IN/A
123	Outbound	NHSE	Enhance Service Data Collection	Web platforms	No	Low	Within UK	No	External	Yes	Practice audit information		Special Category	(I) Public interest for public health i.e. ensuring high quality health care	Yes	Yes	EMIS/DOCS		HIGH	HIGH	YES		
124	Outbound	Various	Payment details - cheques/ BACS details	Fax/ post/ email / hand / verbal	Yes	High	Within UK	No	External	Yes	Practice finance information		Contract	(I) Public interest for public health i.e. ensuring high quality health care	Yes	No	Secure server		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	IN/A
125	Outbound	Practice Computer	Practice Accounts	Fax/ post/ email / hand / verbal	Yes	High	Within UK	No	External	Yes	Practice finance information		Contract	(I) Public interest for public health i.e. ensuring high quality health care	Yes	No	Secure server		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	IN/A
126	Outbound	DBS suppliers	DBS	Web platforms	Yes	High	Within UK	No	External	Yes	Staff information		Legal Obligation	(b) Employment or social security reason	Yes	No	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	IN/A
127	Outbound	Staff referees	Reference Requests	Fax/ post/ email / hand	Yes	High	Within UK	No	External	Yes	Staff information		Legal Obligation	(b) Employment or social security reason	Yes	No	Locked cabinet		HIGH	HIGH	YES	REMOVE FAX OPTION / SIGNED FOR HAND DELIVERY/POST	IN/A
128	Outbound	Council																					