

# Heaton Mersey Medical Practice

## Complaints Policy

### **Purpose**

The protocol sets out the approach of Heaton Mersey Medical Practice to the handling of complaints. This protocol is relevant to all employers and anyone who works at Heaton Mersey Medical Practice, including non-clinical staff. Individuals training and visitors/observers on the premises must also adhere to this. This protocol will be reviewed annually to ensure that it remains effective and relevant.

### **Importance of having a complaints procedure**

In spite of the efforts of all staff it is likely that a complaint will be made by a patient at some point. To reduce the anxiety and apprehension for both patients and staff it is crucial to have a procedure for handling complaints.

### **How complaints can be made**

Complaints may be received in writing or orally. Where a patient is unable to communicate a complaint by either means on their own then arrangements will be made to facilitate the giving of the complaint.

### **Persons who can complain**

Complaints can be made by patients, former patients, someone who is affected, or likely to be affected, by the action, omission or decision of individuals working at the practice, or by a representative of a patient who is incapable of making the complaint themselves. When a complaint is made on behalf of a child, there must be reasonable grounds for the complaint being made by the representative rather than the child and the complaint must be being made in the best interests of the child. If this is not the case, then written notification of the decision not to investigate the complaint must be sent to the representative.

### **Time limit for making a complaint**

Complaints can be made up to 12 months after the incident that gave rise to the complaint, or from when the complainant was made aware of it. Beyond this timescale it is at the discretion of the practice as to whether to investigate the matter.

### **Persons responsible for handling complaints**

**Responsible Person:** The Responsible Person is a partner responsible for the supervision of the complaints procedure and for making sure that action is taken in light of the outcome of any investigation. The Responsible Person for Heaton Mersey Medical Practice is Dr Graeme Dent, Senior Partner.

**Complaints Manager:** The Complaints Manager is responsible for the handling and investigation of complaints. The Complaints Manager for Heaton Mersey Medical Practice is the Practice Manager.

### **Initial handling of complaints**

1) When a patient wishes to make an oral complaint then the Complaints Manager is to arrange to meet the complainant in private to make an assessment of the complaint.

# Heaton Mersey Medical Practice

## Complaints Policy

The complainant is to be asked whether they would like to be accompanied at this meeting.

2) The complaint should be resolved at this meeting if possible. If the complaint is resolved, then it should be recorded in the complaints register and the implicated staff member is to be told about the details of the complaint.

3) When the complaint cannot be resolved at the initial meeting, the patient can be asked to make a written complaint. If necessary, the Complaints Manager is to write down the complaint on their behalf verbatim. The written complaint is to be recorded in the complaints log.

4) The Complaints Manager is to acknowledge all complaints in writing within 2 working days, stating the anticipated date by which the complainant can expect a full response.

### Investigation of complaint

1) The Complaints Manager is to discuss the complaint with the implicated member of staff to establish their recollection of events.

2) If the complaint is against the Complaints Manager, then the complaint is to be referred to the Responsible Person for investigation.

3) Once the investigation is complete, the Complaints Manager (or Responsible Person if appropriate) will respond to the complainant in writing.

4) If appropriate, the complainant can be invited to a meeting to discuss the complaint with the Complaints Manager and asked if they would like to be accompanied at this meeting.

5) The timescale to respond to the complainant is maximum 6 months, depending on the complexity of the investigation but it is assumed the majority of complaints will be able to be resolved within 25 working days.

6) The full response to the complainant is to be signed by the Complaints Manager or the Responsible Person, and include:

- an explanation of how the complaint was considered,
- the conclusions reached in relation to the complaint and any remedial action that will be needed,
- confirmation as to whether the practice is satisfied that any action has been taken or will be taken,
- contact details of the Parliamentary and Health Service Ombudsman so the patient can refer the complaint to them in the event they are dissatisfied with the handling of the complaint.

# Heaton Mersey Medical Practice

## Complaints Policy

7) If it is not possible to send the complainant a response in the agreed period it is necessary to write to the complainant explaining why. Then a response is to be sent to the complainant as soon as is reasonably practicable.

### Recording complaints and investigations

A record must be kept of:

- each complaint received
- the subject matter of the complaint,
- the steps and decisions taken during an investigation,
- the outcome of each investigation,
- when the practice informed the complainant of the response period and any amendment to that period,
- whether a report of the outcome of the investigation was sent to the complainant within the response period or any amended period.

### Review of complaints

Complaints received by the practice are to be reviewed at staff and/or practice meetings to ensure that learning points are shared.

A review of all complaints will be conducted annually by the Complaints Manager to identify any patterns that are to be reported to the Responsible Person.

The Complaints Manager will notify the Responsible Person of any concerns about a complaint leading to non-compliance. The Responsible Person will identify ways for the practice to return to compliance.

A report on complaints is to be submitted to NHS England annually (year ending 31st March). This report is to:

- specify the number of complaints received,
- specify the number of complaints which it was decided were well-founded,
- specify the number of complaints which the practice has been informed have been referred to the Health Service Ombudsman,
- summarise the subject matter of complaints received,
- summarise any matters of general importance arising out of those complaints, or the way in which the complaints were handled,
- summarise any matters where action has been or is to be taken to improve services as a consequence of those complaints.

This report is to be available to any person on request.

### Publicity

The practice's arrangements for dealing with complaints and how further information about these arrangements may be obtained by patients is to be publicised by the

# Heaton Mersey Medical Practice

## **Complaints Policy**

Complaints Manager. How to contact independent advocacy services and the right of patients to refer their complaint to the Parliamentary and Health Service Ombudsman where local resolution cannot be reached is also to be publicised.